

National Children's Advocacy Center (NCAC)  
Conflict of Interest Disclosure Form for Research**A. DEFINITIONS AND QUESTIONS**

\*"Significant Financial Interest" ("SFI") means:

1. A financial interest consisting of one or more of the following interests of an Investigator, or the Investigator's spouse or dependent children, that reasonably appears to be related to the Investigator's Institutional Responsibilities
  - a. With regard to a publicly traded entity, a significant financial interest exists if the value of any remuneration from the entity in the 12 months preceding the disclosure of the SFI and the value of any equity interest in the entity as of the date of disclosure, when aggregated, exceeds \$5000. Remuneration includes salary and any payment for services not otherwise identified as salary (e.g., consulting fees, honoraria, paid authorship fees). Equity interest includes any stock, stock option or other ownership interest, as determined through reference to public prices or other reasonable measures of fair market value.
  - b. With regard to a nonpublicly traded entity, a significant financial interest exists if the value of any remuneration, as described above, received from the entity in the 12 months preceding the disclosure of the SFI, when aggregated, exceeds \$5000 or when the Investigator (or the Investigator's spouse or dependent children) holds any equity interest (e.g., stock, stock options, or other ownership interests).
  - c. Intellectual property rights and interests (e.g. patents, copyrights) upon receipt of royalties or other income related to such rights and interests that exceed \$5000.
  - d. Reimbursed or sponsored travel (sponsored travel being that which is paid on behalf of the Investigator and not reimbursed to the Investigator so that the exact monetary value may not be readily available) related to an Investigator's Institutional Responsibilities that exceed \$5000.
2. Exceptions: "Significant Financial Interest" does not include:
  - a. Salary, royalties, or other remuneration paid by NCAC or the Sub-Contracting Institution to the Investigator, if the Investigator is currently employed or otherwise currently holding an appointment at such institution;
  - b. Intellectual property rights assigned to NCAC or the Sub-Contracting Institution and agreements to share in royalties related to such rights (e.g., an Investigator's royalties received under the relevant Patent Policy.)
  - c. Income from investment vehicles, such as mutual funds and retirement accounts, as long as the Investigator does not directly control the investment decisions made in these vehicles; and
  - d. Income from seminars, lectures, teaching engagements, service on advisory committees or review panels, or travel expenses that are reimbursed or sponsored by a Federal, state, or local government agency, an institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, a research institute that is affiliated with an institution of higher education.

A "Financial Conflict of Interest" exists when the Institution, through its designated official(s), reasonably determines that an Investigator's Significant Financial Interest is related to a research project and could directly and significantly affect the design, conduct or reporting of the NIH-funded research. A significant financial interest does not in and of itself indicate a conflict, but identifies the need to obtain further information about the potential for bias.

"Institutional responsibilities" means an investigator's professional responsibilities on behalf of NCAC, including but not limited to research, research consultation, teaching, professional practice, and administration such as service on committees, boards, and panels. For further clarification, refer to these guidelines: [http://grants.nih.gov/grants/policy/coi/coi\\_faqs.htm#3383](http://grants.nih.gov/grants/policy/coi/coi_faqs.htm#3383)

DO YOU HAVE A SIGNIFICANT FINANCIAL INTEREST UNDER THE TERMS IN SECTION A?    \_\_\_ YES            \_\_\_ NO

**B. OTHER POTENTIAL CONFLICTS**

Do you or any member of your immediate family have a significant personal relationship with any company, product or service that might bias your performance on this project, or create any potential bias against another product or service? \_\_\_ YES            \_\_\_ NO

**CERTIFICATION**

**I certify that the above information is complete and true to the best of my knowledge. I acknowledge that I am responsible for submitting updates to this information annually and within 30 days of discovering or acquiring a new significant financial interest. If any information is found to be incomplete or inaccurate, I will promptly submit a correction.**

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**Signature**

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**Date**

If you have a significant financial interest as defined here, you will be requested to provide further information to appropriately document it and, if necessary, take steps to mitigate it. Such steps might include placing investments in a mutual fund outside of your direct control, placing investments in a blind trust, public disclosure of financial interests, or resigning from conflicting positions.

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NCAC Staff review: Requires further documentation and review \_\_\_ Yes \_\_\_ No